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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of  
Artavanis-Tsakonas et al.

Attention: Application Processing  
Division, Special Processing  
and Correspondence Branch

Serial No.: 08/083,590

Group Art Unit

Filed: June 25, 1993

Examiner: **RECEIVED**  
NOV 10 1993  
GROUP 1800

For: THERAPEUTIC AND DIAGNOSTIC  
METHODS AND COMPOSITIONS  
BASED ON NOTCH PROTEINS AND  
NUCLEIC ACIDS

Pennie & Edmonds  
1155 Avenue of the Americas  
New York, New York 10036

Attorney Docket No.: 7326-015

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts issued on August 12, 1993 in connection with the above-identified application, Applicants note that a Declaration and Power of Attorney for the application, executed by the inventors Spyridon Artavanis-Tsakonas, Panayiotis Zagouras and Christine Marie Blaumueller on July 20, 1993 and by inventor Richard Grant Fehon on July 27, 1993, was filed in the Patent and Trademark Office by Express Mail on August 13, 1993. Also filed on August 13, 1993 concurrently therewith were the following documents:

- (1) transmittal letter, authorizing the total fee under  
37 C.F.R. § 1.16(e), estimated to be \$65.00 (small entity), to be  
charged to Pennie & Edmonds Deposit Account No. 16-1150;

CS14053	09/24/93	08083590	16-1150	140	205	65.00CH
CS14054	09/24/93	08083590	16-1150	140	202	37.00CH

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB 389 223 747 US Date of Deposit September 13, 1993  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

PATRICK MILLS

*Patrick Mills*  
(Signature of person mailing paper or fee)

- (2) Assignment of the application to Yale University;
- (3) Assignment Recordation Form Cover Sheet (Form PTO-1595);
- (4) Verified Statement Claiming Small Entity Status [37 C.F.R. § 1.9(f) and § 1.27(d)]; and
- (5) Request for Refund Pursuant to 37 C.F.R. § 1.28(a).

For the convenience of the Patent and Trademark Office, a complete copy of these documents filed on August 13, 1993 are attached hereto as Exhibit A. Also submitted herewith is the stamped postcard receipt (attached hereto as Exhibit B), listing these documents and stamped by the Patent and Trademark Office mail room with the date of August 13, 1993, evidencing the Patent and Trademark Office's receipt of these documents.

In the Notice to File Missing Parts, additional claim fees of \$74.00 (large entity) are stated to be required. Thus, the fee due in connection herewith has been estimated to be \$37.00 (small entity); please charge the required fee to Pennie & Edmonds Deposit Account No. 16-1150. A duplicate of this sheet is enclosed. A copy of the Notice to File Missing Parts dated August 12, 1993 is also enclosed.

The time for responding to the Notice to File Missing Parts has been set for Sunday, September 12, 1993.

Respectfully submitted,

PENNIE & EDMONDS  
Attorneys for Applicants

Date: September 13, 1993

*S. Leslie Misrock*  
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*by Adriane Antler*  
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